## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|
| Estimated average burden |     |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>HOBSON MELLODY L         |                       |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>JPMORGAN CHASE &amp; CO</u> [ JPM ] |       | ationship of Reporting Pe<br>k all applicable)<br>Director | erson(s) to Issuer<br>10% Owner |  |  |  |  |
|--|-----------------------|----------|--|-------|--|---------------------------------|--|--|--|--|
| (Last)<br>383 MADISON  | (First)<br>N AVENUE   | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/31/2021                               | 1     | Officer (give title below)                                 | Other (specify below)           |  |  |  |  |
| ,  |                       |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                     |       | 6. Individual or Joint/Group Filing (Check Applicable      |                                 |  |  |  |  |
| (Street)   |                       |          |  | Line) |  |                                 |  |  |  |  |
| NEW YORK   | EW YORK NY 10179-0001 |          |  |       | Form filed by One Reporting Person                         |                                 |  |  |  |  |
|  |                       |          | _  |       | Form filed by More th<br>Person                            | an One Reporting                |  |  |  |  |
| (City)   | (State)               | (Zip)    |  |       |  |                                 |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                       |          |  |       |  |                                 |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities A<br>Disposed Of ( |               |          | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|---|---|----------------------------------|---------------|----------|---|---|---|
|                                 |  |   | Code                                    | v | Amount                           | (A) or<br>(D) | Price    | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |
| Common Stock                    | 03/31/2021                                 |   | A <sup>(1)</sup>                        |   | 229.9153                         | Α             | \$152.23 | 14,362.8603   | D   |   |
| Common Stock                    |  |   |   |   |                                  |               |          | 124,155   | Ι   | By The<br>GWL<br>Living<br>Trust                    |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |   |                              |   | -  |  |  |   |       |   |  |                                  |  |  |
|---|---|--|---|------------------------------|---|--|--|--|---|-------|---|--|----------------------------------|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |  | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form:<br>Direct (D) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   | Code                         | v |  |  | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |                                  |  |  |

Explanation of Responses:

1. Deferral of quarterly retainer, payable in common stock following termination of service as director.

## /s/ David K.F. Gillis under POA

\*\* Signature of Reporting Person Date

04/01/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).