

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): October 28, 2020

JPMorgan Chase & Co.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation or organization)	1-5805 (Commission File Number)	13-2624428 (I.R.S. employer identification no.)
383 Madison Avenue, New York, New York (Address of principal executive offices)		10179 (Zip Code)

Registrant's telephone number, including area code: (212) 270-6000

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class

Common stock

Depository Shares, each representing a one-four hundredth interest in a share of 6.10% Non-Cumulative Preferred Stock, Series AA

Depository Shares, each representing a one-four hundredth interest in a share of 6.15% Non-Cumulative Preferred Stock, Series BB

Depository Shares, each representing a one-four hundredth interest in a share of 5.75% Non-Cumulative Preferred Stock, Series DD

Depository Shares, each representing a one-four hundredth interest in a share of 6.00% Non-Cumulative Preferred Stock, Series EE

Depository Shares, each representing a one-four hundredth interest in a share of 4.75% Non-Cumulative Preferred Stock, Series GG

Alerian MLP Index ETNs due May 24, 2024

Guarantee of Callable Step-Up Fixed Rate Notes due April 26, 2028 of JPMorgan Chase Financial Company LLC

Trading Symbol(s)

JPM

JPM PR G

JPM PR H

JPM PR D

JPM PR C

JPM PR J

AMJ

JPM/28

Name of each exchange on which registered

The New York Stock Exchange

The New York Stock Exchange

The New York Stock Exchange

The New York Stock Exchange

The New York Stock Exchange

The New York Stock Exchange

NYSE Arca, Inc.

The New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02(d)

JPMorgan Chase & Co. ("JPMorgan Chase" or the "Company") elected Phebe N. Novakovic as a director, effective December 7, 2020. Ms. Novakovic is Chairman and Chief Executive Officer of General Dynamics Corporation. Ms. Novakovic's appointment to a board committee will be disclosed when determined. She was also elected a director of JPMorgan Chase Bank, N.A. and a manager of JPMorgan Chase Holdings LLC, and may be elected a director of such other subsidiary or subsidiaries as may be determined from time to time.

A copy of the press release is attached hereto as Exhibit 99.1.

Item 9.01 Financial Statements and Exhibits

(d) Exhibit

Exhibit No.	Description of Exhibit
99.1	JPMorgan Chase & Co Press Release dated October 28, 2020
101	Pursuant to Rule 406 of Regulation S-T, the cover page is formatted in Inline XBRL (Inline eXtensible Business Reporting Language).
104	Cover Page Interactive Data File (embedded within the Inline XBRL document and included in Exhibit 101).

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

JPMorgan Chase & Co.

(Registrant)

By:

/s/ Molly Carpenter

Molly Carpenter

Corporate Secretary

Dated: October 28, 2020

News release: IMMEDIATE RELEASE

JPMorgan Chase Elects Phebe N. Novakovic to its Board of Directors

New York, October 28, 2020 – JPMorgan Chase (NYSE: JPM) announced today that Phebe N. Novakovic, 62, has been elected as a director of the company, effective December 7, 2020.

Ms. Novakovic has served as Chairman and Chief Executive Officer of General Dynamics Corporation since 2013. Since joining General Dynamics in 2001, she has held several executive roles including President and Chief Operating Officer and Executive Vice President for Marine Systems. In addition, Ms. Novakovic serves on the boards of Abbott Laboratories, Northwestern University, the Center for Strategic and International Studies, the Congressional Medal of Honor Foundation, and the National Military Family Association.

“Phebe Novakovic is one of the most respected executives in business today, whose deep knowledge and leadership in the defense and intelligence communities, technology and cyber will add tremendous value to our company as we navigate a rapidly changing environment,” said Jamie Dimon, Chairman and CEO of JPMorgan Chase.

“I am excited to join this talented board and group of leaders at JPMorgan Chase who have helped the company become one of the most successful in the world today. The firm continues to make significant investments to better serve and protect its customers, clients and communities, and I look forward to bringing my experience to help it become even stronger,” said Ms. Novakovic.

About JPMorgan Chase

JPMorgan Chase & Co. (NYSE: JPM) is a leading global financial services firm with assets of \$3.2 trillion and operations worldwide. The Firm is a leader in investment banking, financial services for consumers and small businesses, commercial banking, financial transaction processing, and asset management. A component of the Dow Jones Industrial Average, JPMorgan Chase & Co. serves millions of customers in the United States and many of the world's most prominent corporate, institutional and government clients under its J.P. Morgan and Chase brands. Information about JPMorgan Chase & Co. is available at www.jpmorganchase.com.

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Investor Contact:
Jason Scott, 212-270-2479

Media Contact:
Joseph Evangelisti, 212-270-7438
