SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol JPMORGAN CHASE & CO [JPM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
DIMON JAMES				X	Director	10% Owner			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)			
JPMORGAN CHASE & CO.			01/13/2018		Chairman 8	z CEO			
270 PARK AVI	ENUE								
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable			
NEW YORK	NY	10017-2070		X	Form filed by One Re	porting Person			
			-		Form filed by More the Person	an One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/13/2018		М		99,273	A	\$0 ⁽¹⁾	99,273	D	
Common Stock	01/13/2018		F		51,786	D	\$111.845	47,487	D	
Common Stock								7,359.4166	I	By 401(k)
Common Stock								968,960	I	By Family Trusts
Common Stock								4,903,335	I	By GRATs
Common Stock								115,800	I	By LLC ⁽²⁾
Common Stock								1,385,000	I	By Spouse's GRATs

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities (Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		rrities Derivative ying Security ive Security (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	01/13/2018		М			99,273	(3)	01/13/2018	Common Stock	99,273	\$0.0000	0.0000	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of JPMC common stock.

2. Reporting person disclaims beneficial ownership of such shares except to the extent of any pecuniary interest.

3. Restricted stock units vest 50% on January 13, 2017 and 50% on January 13, 2018.

<u>/s/ Holly Youngwood under</u> POA

01/17/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.