

**J.P. MORGAN SECURITIES LLC  
 SELECTED FCM REGULATORY CAPITAL DATA  
 PER CFTC REGULATION 1.55(o)(1)(iv)  
 (\$ in thousands)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
OCTOBER 2017	20,804,490	13,490,708	10,750,491
SEPTEMBER 2017	21,957,654	15,584,886	12,769,611
AUGUST 2017	22,591,604	15,509,459	12,668,025
JULY 2017	21,946,089	14,755,414	11,988,680
JUNE 2017	20,949,036	13,875,115	11,108,718
MAY 2017	20,482,895	13,418,534	10,506,042
APRIL 2017	18,719,815	13,942,191	11,031,453
MARCH 2017	19,977,314	15,303,940	12,472,234
FEBRUARY 2017	18,900,257	14,181,894	11,242,893
JANUARY 2017	19,317,793	13,408,030	10,497,768
DECEMBER 2016	20,213,914	14,654,191	11,871,263
NOVEMBER 2016	18,452,829	13,406,850	10,617,380

**Amounts sourced from the JPMS LLC FOCUS Reports filed with the SEC  
 and CFTC in accordance with SEA Rule 17a-5**