SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
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1. Name and A Erdoes Ma	ddress of Reporting F ary <u>E.</u>	Person*	2. Issuer Name and Ticker or Trading Symbol <u>JPMORGAN CHASE & CO</u> [JPM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
				X Officer (give title Other (specify				
(Last)	.ast) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	below) below)				
JPMORGAN CHASE & CO.			01/13/2017	CEO Asset Management				
JPMOKGAI	CHASE & CO.							
270 PARK A	VENUE							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street)				Line)				
NEW YORK	K NY	10022		X Form filed by One Reporting Person				
		10022		Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	mount (A) or Drico		Reported Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	01/13/2017		М		84,516	Α	\$0 ⁽¹⁾	375,596	D		
Common Stock	01/13/2017		М		73,867	Α	\$0 ⁽¹⁾	449,463	D		
Common Stock	01/13/2017		F		86,786	D	\$87.135	362,677	D		
Common Stock	01/17/2017		S ⁽²⁾		35,799	D	\$84.153	326,878	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of Ex		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	4			
Restricted Stock Units	(1)	01/13/2017		М			73,867	(3)	01/13/2017	Common Stock	73,867	\$0.0000	0.0000	D	
Restricted	(1)	01/12/2017		м			94 516	(4)	01/12/2019	Common	84 516	¢0.0000	94 516	D	

(4)

01/13/2018

Explanation of Responses:

Stock

Units

(1)

1. Each restricted stock unit represents a contingent right to receive one share of JPMC common stock.

2. Sale pursuant to 10b5-1 Plan dated November 15, 2016.

3. Restricted stock units vest 50% on January 13, 2016 and 50% on January 13, 2017.

01/13/2017

4. Restricted stock units vest 50% on January 13, 2017 and 50% on January 13, 2018.

/s/ Molly Carpenter under POA 01/18/2017

\$0.0000

84,516

D

** Signature of Reporting Person Date

84,516

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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