

**J.P. MORGAN SECURITIES LLC  
 SELECTED FCM REGULATORY CAPITAL DATA  
 PER CFTC REGULATION 1.55(o)(1)(iv)  
 (\$ in thousands)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
JANUARY 2021	33,090,544	26,254,317	21,170,712
DECEMBER 2020	33,164,884	27,651,393	22,627,562
NOVEMBER 2020	33,470,917	27,406,653	22,034,888
OCTOBER 2020	34,495,625	27,897,318	22,606,208
SEPT 2020	34,408,042	28,047,365	22,896,852
AUG 2020	34,927,156	27,595,905	22,315,996
JULY 2020	33,875,337	27,522,364	22,012,598
JUNE 2020	34,450,120	27,714,520	22,252,339
MAY 2020	33,089,746	26,225,909	20,628,954
APRIL 2020	31,544,790	23,422,668	17,614,300
MARCH 2020	27,133,946	17,287,996	11,342,965
FEBRUARY 2020	26,462,751	19,417,444	15,209,500

**Amounts sourced from the JPMS LLC FOCUS Reports filed with the SEC  
 and CFTC in accordance with SEA Rule 17a-5**