

**J.P. MORGAN SECURITIES LLC
 SELECTED FCM REGULATORY CAPITAL DATA
 PER CFTC REGULATION 1.55(o)(1)(iv)
 (\$ in thousands)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
NOVEMBER 2020	33,470,917	27,406,653	22,034,888
OCTOBER 2020	34,495,625	27,897,318	22,606,208
SEPT 2020	34,408,042	28,047,365	22,896,852
AUG 2020	34,927,156	27,595,905	22,315,996
JULY 2020	33,875,337	27,522,364	22,012,598
JUNE 2020	34,450,120	27,714,520	22,252,339
MAY 2020	33,089,746	26,225,909	20,628,954
APRIL 2020	31,544,790	23,422,668	17,614,300
MARCH 2020	27,133,946	17,287,996	11,342,965
FEBRUARY 2020	26,462,751	19,417,444	15,209,500
JANUARY 2020	26,369,509	19,800,674	15,875,082
DECEMBER 2019	26,202,079	21,050,625	17,299,184

**Amounts sourced from the JPMS LLC FOCUS Reports filed with the SEC
 and CFTC in accordance with SEA Rule 17a-5**