SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

-	-								
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940														11	mated average bu s per response:	ırden 0.5	
1. Name and Address of Reporting Person [*] Lake Marianne						2. Issuer Name and Ticker or Trading Symbol <u>JPMORGAN CHASE & CO</u> [JPM]							heck all ap Dire	olicable)) Issuer Owner r (specify	
(Last) 383 MA	(Fi DISON AV	,	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 11/24/2020							Executive Vice P		N)	
(Street) NEW YO (City)			0179-(Zip)	0001	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable ne) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Table	I - No	on-Deriva	ative \$	Secui	rities Acq	uired	, Dis	posed of	, or Be	nefici	ally Owr	ed			
1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day)				Execution Date,		3.4. Securities Acquired (ATransactionDisposed Of (D) (Instr. 3,Code (Instr.5)		d (A) or r. 3, 4 ar	or 4 and Beneficially Owned Follo Reported		6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
						Code	v	Amount	(A) or (D)	Price	Trans	action(s) 3 and 4)		(
Common Stock 11/24/24					020			S ⁽¹⁾		15,808	D	\$120	.01 63	3,420 ⁽²⁾	I	By GRATs	
Common Stock													72	2,182 ⁽²⁾	D		
		Ta	ble II ·							osed of, convertib				d			
Derivative Security Conversion or Exercise Date (Month/Day/Year) Execution Date, if any		4. Transa Code (8)	action of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of De Securities Sec		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e Ownersh Form: Ily Direct (D or Indire (I) (Instr.	Beneficial) Ownership ct (Instr. 4)					

Explanation of Responses:

1. Sale pursuant to 10b5-1 Plan dated April 24, 2020.

2. Balance reflects a) 27,381 shares transferred from a Grantor Retained Annutiy Trust (GRAT) to the Grantor and b) 36,796 shares transferred from the Grantor to a GRAT on April 24, 2020. These transfers are exempt from Section 16 pursuant to Rule 16a-13.

(D)

(A)

Date

Exercisable

Expiration

Date



Amount or Number

Shares

of

Title

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.