

**J.P. MORGAN SECURITIES LLC  
 SELECTED FCM REGULATORY CAPITAL DATA  
 PER CFTC REGULATION 1.55(o)(1)(iv)  
 (\$ in thousands)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
JUNE 2020	34,450,120	27,714,520	22,252,339
MAY 2020	33,089,746	26,225,909	20,628,954
APRIL 2020	31,544,790	23,422,668	17,614,300
MARCH 2020	27,133,946	17,287,996	11,342,965
FEBRUARY 2020	26,462,751	19,417,444	15,209,500
JANUARY 2020	26,369,509	19,800,674	15,875,082
DECEMBER 2019	26,202,079	21,050,625	17,299,184
NOVEMBER 2019	23,429,348	17,416,131	13,554,994
OCTOBER 2019	28,749,797	22,953,685	19,045,766
SEPTEMBER 2019	27,320,913	21,432,233	17,686,680
AUGUST 2019	27,147,496	21,343,072	17,550,891
JULY 2019	26,650,140	20,627,352	17,145,731

**Amounts sourced from the JPMS LLC FOCUS Reports filed with the SEC  
 and CFTC in accordance with SEA Rule 17a-5**