

**J.P. MORGAN SECURITIES LLC**  
**SELECTED FCM REGULATORY CAPITAL DATA**  
**PER CFTC REGULATION 1.55(o)(1)(iv)**  
**(\$ in thousands)**

PERIOD	TENTATIVE NET CAPITAL	NET CAPITAL	EXCESS NET CAPITAL
DEC 2019	26,202,079	21,050,625	17,299,184
NOV 2019	23,429,348	17,416,131	13,554,994
OCT 2019	28,749,797	22,953,685	19,045,766
SEP 2019	27,320,913	21,432,233	17,686,680
AUG 2019	27,147,496	21,343,072	17,550,891
JULY 2019	26,650,140	20,627,352	17,145,731
JUNE 2019	26,643,215	20,858,407	17,412,048
MAY 2019	26,887,586	20,257,282	17,047,436
APRIL 2019	25,726,584	19,024,883	15,776,920
MARCH 2019	25,687,209	18,067,174	14,907,055
FEBRUARY 2019	23,923,843	17,422,468	14,263,334
JANUARY 2019	23,117,202	16,127,576	13,029,366
DECEMBER 2018	22,808,936	16,648,182	13,579,516
NOVEMBER 2018	25,726,584	19,024,883	12,582,492

**Amounts sourced from the JPMS LLC FOCUS Reports filed with the SEC  
and CFTC in accordance with SEA Rule 17a-5**