

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 8-K**

**CURRENT REPORT  
Pursuant to Section 13 or 15(d)  
of the Securities Exchange Act of 1934**

**Date of Report (date of earliest event reported): August 2, 2019**

**JPMorgan Chase & Co.**  
(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction of  
incorporation or organization)

**001-05805**  
(Commission  
File Number)

**13-2624428**  
(I.R.S. employer  
identification no.)

**383 Madison Avenue, New York, New York**  
(Address of principal executive offices)

**10179**  
(Zip Code)

**Registrant's telephone number, including area code: (212) 270-6000**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Securities registered pursuant to Section 12(b) of the Act:**

<u>Title of each class</u>	<u>Trading Symbol(s)</u>	<u>Name of each exchange on which registered</u>
Common stock	JPM	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 5.45% Non-Cumulative Preferred Stock, Series P	JPM PR A	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 6.30% Non-Cumulative Preferred Stock, Series W	JPM PR E	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 6.125% Non-Cumulative Preferred Stock, Series Y	JPM PR F	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 6.10% Non-Cumulative Preferred Stock, Series AA	JPM PR G	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 6.15% Non-Cumulative Preferred Stock, Series BB	JPM PR H	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 5.75% Non-Cumulative Preferred Stock, Series DD	JPM PR D	The New York Stock Exchange
Depository Shares, each representing a one-four hundredth interest in a share of 6.00% Non-Cumulative Preferred Stock, Series EE	JPM PR C	The New York Stock Exchange
Alerian MLP Index ETNs due May 24, 2024	AMJ	NYSE Arca, Inc.
Guarantee of Callable Step-Up Fixed Rate Notes due April 26, 2028 of JPMorgan Chase Financial Company LLC	JPM/28	The New York Stock Exchange
Guarantee of Cushing 30 MLP Index ETNs due June 15, 2037 of JPMorgan Chase Financial Company LLC	PPLN	NYSE Arca, Inc.

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐



## **Item 8.01 Other Events**

On August 2, 2019, JPMorgan Chase & Co. issued a press release announcing the redemption on September 1, 2019 (the “Redemption Date”) of all of the 35,200,000 outstanding depositary shares, each representing a 1/400th interest in a share of JPMorgan Chase & Co.’s 6.30% Non-Cumulative Preferred Stock, Series W, par value \$1.00 per share and with a liquidation preference of \$10,000 (the “Series W Preferred Stock”), pursuant to the optional redemption provisions provided in the documents governing such depositary shares and the Series W Preferred Stock. The redemption will be funded with available cash.

A copy of the press release referenced above is attached hereto as Exhibit 99.

## **Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

<u>Exhibit No.</u>	<u>Description of Exhibit</u>
99	<a href="#"><u>JPMorgan Chase &amp; Co. press release dated August 2, 2019</u></a>

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

\_\_\_\_\_  
JPMorgan Chase & Co.

(Registrant)

By: \_\_\_\_\_ /s/ Jordan A. Costa

Jordan A. Costa  
Managing Director

Dated: August 2, 2019

JPMorgan Chase &amp; Co.

383 Madison Avenue, New York, NY 10179-0001

NYSE symbol: JPM

[www.jpmorganchase.com](http://www.jpmorganchase.com)

JPMORGAN CHASE &amp; CO.

News release: IMMEDIATE RELEASE

## **JPMorgan Chase To Redeem All \$880 Million Of Its 6.30% Non-Cumulative Preferred Stock, Series W Represented By Depositary Shares**

**New York, August 2, 2019** – JPMorgan Chase & Co. (NYSE: JPM) (“JPMorgan Chase” or the “Firm”) has announced that it will redeem on September 1, 2019 all of the 88,000 outstanding shares of its 6.30% Non-Cumulative Preferred Stock, Series W (“Series W Preferred Stock”). The shares of Series W Preferred Stock are represented by 35,200,000 depositary shares which are currently traded on the New York Stock Exchange under the symbol JPM PR E (CUSIP 481246700). Each depositary share represents a 1/400<sup>th</sup> interest in a share of Series W Preferred Stock. The redemption price per share for the Series W Preferred Stock will be \$10,000 (equivalent to \$25.00 per depositary share).

Payment of the redemption price will be made on September 3, 2019, the first business day after the redemption date of September 1, 2019, upon presentation and surrender of the depositary receipts evidencing the depositary shares to be redeemed to Computershare Inc., as Depositary, at 150 Royall Street, Canton, Massachusetts 02021. Depositary shares held in book-entry form shall be surrendered in accordance with applicable procedures of The Depositary Trust Company.

September 1, 2019 is also the final dividend payment date for the Series W Preferred Stock and the depositary shares. The record date for that dividend is August 2, 2019. Payment of the final dividend will be made on September 3, 2019, the first business day after the redemption date of September 1, 2019.

JPMorgan Chase & Co. (NYSE: JPM) is a leading global financial services firm with assets of \$2.7 trillion and operations worldwide. The Firm is a leader in investment banking, financial services for consumers and small businesses, commercial banking, financial transaction processing, and asset management. A component of the Dow Jones Industrial Average, JPMorgan Chase & Co. serves millions of customers in the United States and many of the world’s most prominent corporate, institutional and government clients under its J.P. Morgan and Chase brands. Information about JPMorgan Chase & Co. is available at [www.jpmorganchase.com](http://www.jpmorganchase.com).

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