FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
OMB Number:	3235-028
F-4:41	hurdon

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

37 Estimated average burden 0.5 hours per response:

or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person JPMORGAN CHASE & CO [ JPM ] **Smith Gordon** Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Last) (First) (Middle) 04/15/2019 Co-President & COO, CEO CCB 383 MADISON AVENUE 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) **NEW YORK** NY 10179-0001 Form filed by One Reporting Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 7. Nature Transaction **Execution Date** Securities Form: Direct of Indirect (Month/Day/Year) Code (Instr. 8) (D) or Indirect (I) (Instr. 4) Beneficially Beneficial Owned Following (Month/Dav/Year) Ownership Reported (Instr. 4) (A) or (D) Transaction(s) Code ν Amount Price (Instr. 3 and 4) S<sup>(1)</sup> 04/15/2019 Common Stock 41,395 D \$109,9861 365,087 D By Common Stock 24,025 Family Trust By Common Stock 45,000 **GRATs** Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1 Title of 3. Transaction 3A. Deemed 5. Number 7 Title and 8. Price of 9. Number of 10 11 Nature Derivative Security Execution Date of Indirect Conversion Transaction Expiration Date Amount of derivative Ownership Derivative (Month/Day/Year) Derivative or Exercise if any Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial (Instr. 3) (Month/Day/Year) Securities Underlying (Instr. 5) Beneficially Direct (D) Derivative (Instr. 4) Acquired Derivative Owned or Indirect (A) or Disposed (I) (Instr. 4) Security Security (Instr. 3 Following and 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5)

Date

Exercisable

Expiration

Title

## **Explanation of Responses:**

1. Sales pursuant to 10b5-1 Plans dated January 28, 2019 and November 8, 2018, as modified on January 28, 2019.

/s/ David K.F. Gillis under 04/16/2019 **POA** 

Amount

Shares

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A) (D)