

**J.P. MORGAN SECURITIES LLC**  
**SELECTED FCM REGULATORY CAPITAL DATA**  
**PER CFTC REGULATION 1.55(o)(1)(iv)**  
**(\$ in thousands)**

<u>PERIOD</u>	<u>TENTATIVE NET CAPITAL</u>	<u>NET CAPITAL</u>	<u>EXCESS NET CAPITAL</u>
SEPTEMBER 2018	24,633,066	18,257,835	15,354,714
AUGUST 2018	25,321,481	18,898,562	15,981,239
JULY 2018	23,785,088	17,246,728	14,342,130
JUNE 2018	23,426,897	16,649,076	13,761,174
MAY 2018	22,766,002	15,891,226	13,008,891
APRIL 2018	23,240,055	16,553,145	13,645,261
MARCH 2018	23,535,156	16,406,938	13,576,348
FEBRUARY 2018	21,976,021	14,368,866	11,494,248
JANUARY 2018	19,918,250	12,006,427	9,095,553
DECEMBER 2017	20,126,144	13,562,380	10,774,981
NOVEMBER 2017	20,496,001	12,913,822	10,106,155
OCTOBER 2017	20,804,490	13,490,708	10,750,491
SEPTEMBER 2017	21,957,654	15,584,886	12,769,611
AUGUST 2017	22,591,604	15,509,459	12,668,025

**Amounts sourced from the JPMS LLC FOCUS Reports filed with the SEC and CFTC in accordance with SEA Rule 17a-5**